

Enterprise Privacy & Security Officer
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September 2010

Item 5a – Attachment A

Board Assignment Status

Assignment Date	Request / Subject	Due Date	Status / Completed Date

Other Items of Interest

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1. Governance	The EPSO blocked a number of non work related web sites that are known to cause network congestion.
2. Planning	<p>An information security maturity assessment has been completed. This will be used to develop a 3 year information security plan for the organization.</p> <ul style="list-style-type: none">• Define Security Posture at CalPERS as well as domain scope for EPSO.• Define ideal future state at CalPERS for security.• Define the current state at CalPERS for Security.• Identify initiatives to close the gap.• Define a target state that is achievable in each year of the business plan.

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3. Policy	<ul style="list-style-type: none"> • Revisions to the following policies are being considered: <ul style="list-style-type: none"> ➤ Information Security Incidents. ➤ Event Logs ➤ Data Classification ➤ Data Classification ➤ Data Owners and Custodians ➤ Security Personal Computers • New policies are pending for approval: <ul style="list-style-type: none"> ➤ Restricted Data ➤ Application Code Authorization ➤ Electronic Records Discovery ➤ Separation of Duties ➤ Software Licensing ➤ Unix Server Security • HIPAA security concerns have been address by amending the extending existing policies rather than creating new and duplicative policies for HIPAA only. • An Information Security Committee (ISC) is being established to provide input on the creation, modification and implementation of security policy.
4. Risk Management	<ul style="list-style-type: none"> • An information security risk assessment specifically targeting Blackberry usage within CalPERS has been completed. • Currently engaged in risk assessments of Employer Services Division and the Actuarial Office.
5. Awareness	<ul style="list-style-type: none"> • The new CalPERS <i>Information Security Awareness</i> Web-Based Training Course has been completed and integrated with the CalPERS <i>Learning Management System</i> (LMS). All CalPERS employees have been notified by memorandum that they are required to complete this mandatory training course. • As of August 9, 2010, approximately 2,376 employees have taken the CalPERS <i>Information Security Awareness</i> Web-Based Training Course. • The <i>Information Security Awareness</i> Web-Based Training Course for <i>Contractors/Consultants</i> is completed and was posted on May 20, 2010 to the Information Security Office Web Site on <i>The CalPERS Insider</i>. • The <i>Information Security Awareness</i> training for <i>Board Members</i> has been completed and is under review. • A draft version of the revised <i>Information Security Handbook</i> has been crafted and is currently being reviewed and edited before publication submission to the Office of Public Affairs (PAOF).

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6. Compliance	<ul style="list-style-type: none"> Guardium - The Guardium appliance is installed in the production environment and is monitoring the Inquisite database only. However, we have begun conversations with ITSB management to implement the Guardium appliance as an additional layer of security within PSR. We had requested to have Guardium tested within the PSR security testing phase but there is concern that the product has only been approved for testing and not for full production. To avoid impacting the PSR scope and possibly creating a performance impact Guardium will be tested in a non-production environment as time and resources permit. We will continue working with ITSB and the PSR blended team to approve Guardium for production implementation.
7. Incidents	<p>See Attachment 1 – Internal</p> <p>See Attachment 2 – External</p>